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#7  
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04/25/03

PTO/SB/21 (AW)(03-03)  
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/806,120
	Filing Date	July 18, 2001
	First Named Inventor	M. Kinoshita
	Art Unit	2652
	Examiner Name	To Be Assigned
	Attorney Docket No.	MAT-8101US
Total Number of Pages in This Submission <b>22</b>		

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <b>PTO Form 1449, European Search Report and 4 references and a Supplemental Preliminary Amendment</b>
<b>Remarks:</b>		

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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual	Lawrence E. Ashery
Signature	
Date	April 2, 2003

CERTIFICATE OF TRANSMISSION / MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:			
Name (Print/Type)	Lawrence E. Ashery	Registration No. (Attorney/Agent)	34,515
Signature		Date	April 2, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 422 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. Kinoshita : Art Unit: 2652  
Serial No.: 09/806,120 : Examiner: To Be Assigned  
Filed: July 18, 2001 :  
FOR: MAGNETIC RECORDING AND :  
REPRODUCING APPARATUS (AS :  
AMENDED) :

**SUPPLEMENTAL PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

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S I R :

Prior to examination, please amend the above-identified application as follows:

**IN THE TITLE:**

Please replace the Title with the following:

MAGNETIC RECORDING AND REPRODUCING APPARATUS

Respectfully submitted,

Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicant

LEA/fp

Dated: April 2, 2003

Suite 301  
One Westlakes, Berwyn  
P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

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April 2, 2003

**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

**IN THE TITLE:**

MAGNETIC RECORDING AND REPRODUCING APPARATUS

MAT-8101US



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. Kinoshita : Art Unit: 2652  
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FOR: MAGNETIC RECORDING AND :  
REPRODUCING APPARATUS (AS :  
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**SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT** Technology Center 2600

Assistant Commissioner for Patents  
Washington, D.C. 20231

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

No first Official Action has yet been received and it is presumed that none has yet been mailed. A statement, however, is provided herewith:

**STATEMENT**

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicants

LEA/fp  
Enclosures: European Search Report  
PTO Form 1449  
Copies of (#4) References

Dated: April 2, 2003

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

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April 2, 2003

U.S. DEPARTMENT OF COMMERCE  
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MAT-8101US

09/806,120

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

**APPLICANT**

M. Kinoshita

**FILING DATE**

July 18, 2001

**GROUP**

2652

[illegible]

Examiner Initial	Document Number	Date	Country	Class	Sub Class	Translation	
						YES	NO
	05-182365	07/23/93	Japan (Abstract only)				
	06-119714	04/28/94	Japan (Abstract only)				
	05-151709	06/18/93	Japan (Abstract only)				
	EP 0 341 790	11/15/89	Europe				

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(Including Author, Title, Date, Pertinent Pages, Etc.)

[illegible]

**Examiner**

Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.